



## Table of Fees for Services

A complete explanation of fees and services can be found in Helm's Form ADV Part 2A. Fees below are only charged when clients request the services listed and fees may not apply to all clients. Some fees are negotiated. Please speak with Helm about what services are appropriate for you and the fees that will apply.

Fees Charged by Investment Advisor	Fee Amount		Frequency Fee is Charged	Services
Assets Under Management Fee	First \$0 to \$250,000 with minimum fee of \$2500	1.00%	Quarterly In Advance	Financial planning services and portfolio management for individuals and/or small businesses
	Next \$250,001 to \$1,000,000	0.70%		
	Next \$1,000,001 to \$2,500,000	0.30%		
	Over \$2,500,000	Negotiable		

Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third party money manager fee	varies by investment	Quarterly in Arrears	Direct Indexing
Outside manager fees	varies by investment	Quarterly in Arrears	Investment management
Robo-adviser fee	none used	n/a	n/a

Talk with Helm about fees and costs applicable to you.

For Additional Discussion with your Adviser		
Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	TD Ameritrade, Charles Schwab
Commissions	No	None
Custodian Fees	Yes	TD Ameritrade, Charles Schwab
Mark-ups	No	None
Mutual Fund/ETF Fees & Expenses	Yes	Individual Mutual Fund and ETF companies

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